



TECHNOLOGY CENTER 2800 ATTORNEY'S DOCKET NO: L0532/7010/NPF

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Henry F. McINERNEY, et al.

Serial No:

09/556,280

Filed:

April 24, 2000

For:

PORTABLE AUTHENTICATION DEVICE AND METHOD OF

AUTHENTICATING PRODUCTS OR PRODUCT PACKAGING

Examiner:

Unassigned

Art Unit:

2877

#### **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the day of March, 2001.

Signature

Commissioner for Patents Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicants request consideration of this Information Disclosure Statement.

## PART I: Compliance with 37 C.F.R. §1.97

A. This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

## PART II: Information Cited

A. The Applicants hereby make of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

ソ

# <u>PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited</u>

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

Where English language translations of the non-English language references have been provided, the translation is believed to show the relevance of the reference. Where no English language translation is available, but an English language abstract has been provided, the English language abstract is believed to explain the relevance to the extent known by Applicants. Where no English language abstract is available, the drawings are believed to show the relevance.

The following are remarks concerning the other information cited.

#### PART IV: Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicants, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

Art Unit: 2877

An early and favorable action is hereby requested.

Respectfully submitted,

Henry F. McINERNEY, et al., Applicants

By:

Neil P. Ferraro, Reg. No. 39,188 WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue Boston, MA 02210

Telephone (617) 720-3500

Docket No. L0532/7010/NPF Dated: March 7\_\_, 2001 **xNDD**